



# NH 1115 WAIVER and THE NATIONAL CONSENSUS STATEMENT ON ORAL HEALTH DURING PREGNANCY



2014 New Hampshire Oral Health Forum  
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OCTOBER 17, 2014

# Today's Topics



- ✦ Pregnant Women and Children's Medicaid Oral Health Program Proposal as Included in NH's 1115 Waiver Request to CMS
- ✦ National Consensus Statement of Oral Health During Pregnancy, as distributed by the National Maternal and Child Oral Health Resource Center, Georgetown University

# Where We're Going



- ✦ Quick review of Medicaid's regulatory structure and the role of waivers
- ✦ Overview of NH Medicaid's 1115 Waiver request, "Building Capacity for Transformation"
- ✦ Review the key features of the Pregnant Women's Oral Health Program within the NH 1115 Waiver request
- ✦ Review elements of National Consensus Statement on Oral Health During Pregnancy
- ✦ Q & A



# Regulatory Structure of Medicaid & Role of Waivers



- ✦ Title XIX of the Social Security Act created Medicaid by federal statute
- ✦ Permitted federal government to contract with states to provide certain services, such as health care and dental services
- ✦ Created a federal agency, now known as “CMS” to administer Medicaid

# Regulatory Structure of Medicaid & Role of Waivers



- ✧ Title XIX, its sections and amendments, broadly describe requirements for states wishing to provide services for which the federal government will match a certain percentage of a state's payment
- ✧ Some services are **REQUIRED**, such as
  - ✧ Early Periodic Screening, Diagnosis and Treatment, which includes comprehensive dental treatment for Medicaid eligible children (0-21 years)
- ✧ Some services are **OPTIONAL**, such as
  - ✧ Dental treatment for adults (over age 21 years)
- ✧ Some services are **EXCLUDED** for federal match, such as cosmetic, experimental, more costly than equally effective.

# Regulatory Structure of Medicaid & Role of Waivers



- ✦ State wishing to have a Medicaid program and receive federal Medicaid matching funds must submit a STATE PLAN to CMS for approval
- ✦ STATE PLAN: Describes the specific structure of that state's Medicaid Program, which must be in compliance with Title XIX
- ✦ STATE PLAN: Includes for example:
  - ✦ Specific services to be provided
    - ✦ Required, optional
    - ✦ Services not to be provided
    - ✦ Limitations of services, etc
  - ✦ Eligibility requirements for members
  - ✦ Providers of services



# Regulatory Structure of Medicaid & Role of Waivers



- ✦ The State Plan is submitted to CMS for approval as being in compliance with federal regulations and being reasonably expected to achieve the goals and obligations of Title XIX
- ✦ If approved by CMS, the State Plan is further operationalized by Administrative Rules
- ✦ Administrative rules describe in detail how the program is to be administered, for example the specific services that are covered for children (0-21yrs) vs adults, whether prior authorization is required, appeal process, etc.
- ✦ Administrative rules must be approved by the Legislature, and therefore have statutory authority within the state

# Regulatory Structure of Medicaid & Role of Waivers



- ✦ Administration of Medicaid benefits is subject to review at several levels
  - ✦ Administrative review by hearings officers as set forth in the State Plan and administrative rules, in compliance with Title XIX requirements
  - ✦ Supreme Court of the state
  - ✦ Review by CMS through audits or other means
  - ✦ Federal Courts



# Regulatory Structure of Medicaid & Role of Waivers



- ✦ Section 1115 of Title XIX enables states to request that specific requirements be waived, for example to provide services to a group of Medicaid eligibles who are not entitled to those services under the STATE PLAN
- ✦ Waivers are often sought for “demonstration” projects
  - ✦ Demonstrate a new approach or alternative program that may be successful in achieving the goals of Title XIX
- ✦ Waivers are not GRANTS: must be budget neutral to the feds

# Regulatory Structure of Medicaid & Role of Waivers



- ✦ Waivers support positive evolution of Medicaid by providing a means for states to be innovative
- ✦ Results of demonstrations may inform decision making to improve the efficiency and efficacy of a state's Medicaid program
- ✦ Results of demonstration projects may stimulate amendment of Federal regulations to permit such programs without waivers going forward

# NH 1115 Waiver, “Building Capacity for Transformation



- ✦ Purpose: To improve delivery of mental health, physical health, substance use disorder, oral health and population health programs and services
- ✦ \*\* REQUESTS AUTHORITY TO RECOGNIZE COSTS NOT OTHERWISE MATCHABLE FROM LOCAL AND STATE HEALTH EXPENDITURES
- ✦ Frees state and local funds for financing meaningful delivery system reforms to improve the NH Health Care System
- ✦ ESTABLISHES AN ADULT ORAL HEALTH PROGRAM FOR MEDICAID ELIGIBLE PREGNANT WOMEN



# Pregnant Women's Oral Health Program Under 1115 Waiver



- ✦ Medicaid eligible pregnant women will be enrolled in new oral health benefit
  - ✦ Will receive dental benefits and be able to participate in evaluation study until child's 5<sup>th</sup> birthday if they remain Medicaid eligible
  - ✦ Must be Medicaid eligible; does not expand or create new eligibility requirements

# Pregnant Women's Oral Health Program Under 1115 Waiver



## ✦ SCOPE OF DENTAL BENEFITS

- ✦ Up to age 21: Comprehensive, EPSDT dental benefits
- ✦ Over 21: Broad, but limited compared with EPSDT
  - ✦ Diagnostic services: comprehensive and periodic exams, radiographs, etc.
  - ✦ Restorative: restorations
  - ✦ Perio: scaling, root planing and periodontal surgery as indicated
  - ✦ Prosthetics: removable partial and full dentures; no fixed
  - ✦ Surgery: including extractions, if medically necessary
  - ✦ Adjunctive general services, such as palliative and anesthesia

# Pregnant Women's Oral Health Program Under 1115 Waiver



- ✦ Oral Health Program is MORE THAN JUST MEDICAID COVERAGE FOR DENTAL SERVICES FOR PREGNANT WOMEN
  - ✦ Establishes an educational/motivational program for all mothers of young children to increase understanding and value of oral health for themselves and their children
  - ✦ Enhances existing tobacco cessation benefit for pregnant women and encourages participation in smoking cessation
  - ✦ Establishes dental benefit for Medicaid eligible pregnant women up to child's 5<sup>th</sup> birthday
  - ✦ Creates a study evaluation of outcomes of participation



# Pregnant Women's Oral Health Program Under 1115 Waiver



Provides incentives for women and children who meet certain performance criteria

- ✦ Mom's completing annual dental exam, dental and perio treatment as needed
- ✦ Participating in smoking cessation
- ✦ Child dental check up before age 1
- ✦ Returning annual surveys of behavior and attitude shifts

# Pregnant Women's Oral Health Program Under 1115 Waiver



## ✧ Study Evaluation

- ✧ Assignment as “Participant” or “Non Participant” retrospectively based on historical Medicaid claims history indicating utilization of certain services, such as routine exams and treatment, smoking cessation, etc.
- ✧ Evaluate difference in certain outcomes between Participants and Non Participants in a Comprehensive Oral Health Program

# Pregnant Women's Oral Health Program Under 1115 Waiver



## ✧ Study Evaluation

### ✧ Outcomes to be compared

- ✧ Positive birth outcomes
- ✧ Use of routine vs emergency dental services
- ✧ Use of early dental services for children
- ✧ Severity of children's dental disease based on codes, location and Medicaid claims paid




# Pregnant Women's Oral Health Program Under 1115 Waiver




- ✦ How will results be used?
  - ✦ Costs and utilization will be used to forecast fiscal impact of implementation of various elements of the pilot to inform future program development
  - ✦ To inform an economic valuation of positive outcomes that may be derived from such a program
  - ✦ Analyze findings of utilization variance to better understand specific needs or barriers, especially those that may help segment populations for design of effective interventions across NH DHHS to promote oral health through tobacco cessation, acceptance of oral health services and practice of self care

# Oral Health Care During Pregnancy: National Consensus Statement, Summary of An Expert Workgroup Meeting



- ✧ The expert workgroup consisted of individuals with expertise in oral health and prenatal care with representation from national organizations including AAP, AAPD, ACOG, ACNM, ADA, the American Dental Hygienists' Association, the Association of State and Territorial Dental Directors, the National Maternal and Child Oral Health Policy Center, and the Medicaid-CHIP State Dental Association; federal agencies; as well as those involved in the development of existing perinatal oral health guidelines
- ✧ Funded by a grant from Maternal and Child Health Bureau (MCHB), Health Resources Administration (HRSA), and the US Dept of Health and Human Services
- ✧ "Content does not necessarily represent the official views of MCHB, HRSA, or DHHS"

# Oral Health Care During Pregnancy: National Consensus Statement, Summary of An Expert Workgroup Meeting



- ✦ Find the document by Googling the above
- ✦ Clear, concise, brief, straight-forward guidance by audience
  - ✦ Guidance for Prenatal Care Health Professionals
  - ✦ Guidance for Oral Health Professionals
  - ✦ Guidance for Health Professionals to Share with Pregnant Women



# Guidance for Prenatal Care Health Professionals



- ✦ Importance of prenatal care health professional's role in oral health care for pregnant women
- ✦ Guidance for
  - ✦ Assessment of oral health status
  - ✦ How to advise about oral health care
  - ✦ Working in collaboration with oral health professionals
  - ✦ Providing support services (case management)
  - ✦ Improving oral health services in the community

# Guidance for Oral Health Professionals



- ✦ Guidance for
  - ✦ Assessment of oral health status
  - ✦ How to advise about oral health care
  - ✦ Working in collaboration with prenatal care health professionals
  - ✦ Providing oral disease management and treatment to pregnant women
  - ✦ Providing support services (case management)
  - ✦ Improving oral health services in the community
  - ✦ Pharmacological considerations in pregnancy

# Guidance for Health Professionals to Share with Pregnant Women



## ✧ Guidance for

- ✧ Importance of sharing information about oral health with pregnant women
- ✧ Risk assessment as a modifier of advising pregnant women about oral health care
- ✧ Sources of information about oral health care
- ✧ Tip sheet of information to share
- ✧ Encouraging practice of other healthful behaviors
- ✧ Resources for further investigation
- ✧ Anticipatory guidance for oral health after baby is born



# Where We've Been...



- ✦ Overview of the Pregnant Women's Oral Health Program included in the 1115 Waiver Request, including a walk through the regulatory environment of Medicaid
- ✦ Introduction of the National Consensus Statement of Oral Health During Pregnancy

# Questions?



# THANK YOU!!



✦ Contact Information

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